

**SUPPORTING STATEMENT FOR  
Consideration of Deferred Action for Childhood Arrivals  
OMB Control No.: 1615-0124  
COLLECTION INSTRUMENT(S): Form I-821D**

**A. Justification**

- 1. Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection. Attach a copy of the appropriate section of each statute and regulation mandating or authorizing the collection of information.**

On June 15, 2012 the Secretary of Homeland Security (the Secretary) issued a memorandum (2012 Memorandum) that outlines guidelines that should be used when considering whether to defer pursuing removal of an illegal alien. This memorandum set up the Deferred Action for Childhood Arrivals (DACA) policy as a case-by-case exercise of prosecutorial discretion relating to illegal aliens who entered the United States as minors and meet certain threshold guidelines. On July 28, 2020, the Acting Secretary modified the 2012 Memorandum in certain respects while he examines the future of the DACA policy in accordance with the Supreme Court's ruling in *Department of Homeland Security v. Regents of the University of California*, 140 S. Ct. 1891 (June 18, 2020). U.S. Citizenship and Immigration Services (USCIS) is collecting the information in this form to implement DACA. The Secretary of Homeland Security is authorized to administer and enforce the immigration laws and establish national immigration enforcement policies and priorities, see 8 U.S.C. § 1103(a)(1); 6 U.S.C. § 202(5). He may also prescribe forms and instructions necessary to carry out the authority provided in 8 U.S.C. 1103(a)(1) and 8 U.S.C 1103(a)(3).

- 2. Indicate how, by whom, and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.**

The information collected on this form is used by USCIS to determine eligibility of certain illegal aliens who entered the United States as minors and meet the following guidelines to be considered for Deferred Action for Childhood Arrivals:

1. Was under the age of 31 as of June 15, 2012;
2. Came to the United States before reaching his or her 16th birthday;
3. Has continuously resided in the United States since June 15, 2007, up to the present time;
4. Was present in the United States on June 15, 2012 and at the time of making his or her request for consideration of deferred action with USCIS;

5. Had no lawful status on June 15, 2012; NOTE: No lawful status on June 15, 2012 means that:
  - a) You never had a lawful immigration status on or before June 15, 2012; or
  - b) Any lawful immigration status or parole that you obtained prior to June 15, 2012 had expired as of June 15, 2012.
6. Is currently in school, has graduated or obtained a certificate of completion from high school, has obtained a general education development (GED) certificate, or is an honorably discharged veteran of the U.S. Armed Forces or U.S. Coast Guard; and
7. Has not been convicted of a felony, a significant misdemeanor, or three or more misdemeanors, and does not otherwise pose a threat to national security or public safety.

An illegal alien may be considered for Renewal of DACA if he or she met the guidelines for consideration of Initial DACA and;

1. Did not depart the United States on or after August 15, 2012 without advance parole;
2. Has continuously resided in the United States since he or she submitted his or her most recent request for DACA that was approved up to the present time; and
3. Has not been convicted of a felony, a significant misdemeanor, or three or more misdemeanors, and does not otherwise pose a threat to national security or public safety.

These illegal aliens will be considered for deferral of removal action as part of the Deferred Action for Childhood Arrivals process. Pursuant to the Acting Secretary's July 28, 2020 memorandum, those who submit requests with USCIS and demonstrate that they meet the threshold guidelines may have removal action in their case deferred for a period of one year, subject to renewal (if not terminated), based on an individualized, case-by-case assessment of the illegal alien's equities. Only those illegal aliens who can demonstrate, through verifiable documentation, that they meet the threshold guidelines will be considered for deferred action for childhood arrivals, except in exceptional circumstances.

3. **Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also describe any consideration of using information technology to reduce burden.**

This form cannot be e-filed at this time. Form I-821D is available online at <http://www.uscis.gov/i-821d>.

Respondents may download, complete, and save Form I-821D electronically, but it must be filed in paper form.

4. **Describe efforts to identify duplication. Show specifically why any similar**

**information already available cannot be used or modified for use for the purposes described in Item 2 above.**

USCIS has investigated its internal processes, files and data as well as those of other Federal agencies that may service the same population. USCIS was not able to find any other means by which the information necessary for this process could be obtained except for the use of this form. USCIS has minimized the information required to request renewals and avoid duplication of information already in the file to the extent possible. Renewal requestors are asked to provide different supporting evidence than is required for initial requests. USCIS will continue to examine ways in which information may be obtained from other sources and any identified duplications can be minimized or removed.

**5. If the collection of information impacts small businesses or other small entities (Item 5 of OMB Form 83-I), describe any methods used to minimize burden.**

This collection of information will not affect small businesses or other small entities. It solely is directed at certain illegal aliens who entered the United States as minors.

**6. Describe the consequence to Federal program or policy activities if the collection is not conducted or is conducted less frequently, as well as any technical or legal obstacles to reducing burden.**

If the information is not collected, USCIS will not be able to continue processing DACA requests and determine whether a requestor merits the exercise of prosecutorial discretion to have removal action deferred while the Acting Secretary considers the DACA policy in accordance with the Supreme Court's ruling in *Department of Homeland Security v. Regents of the University of California*.

**7. Explain any special circumstances that would cause an information collection to be conducted in a manner:**

- **Requiring respondents to report information to the agency more often than quarterly;**
- **Requiring respondents to prepare a written response to a collection of information in fewer than 30 days after receipt of it;**
- **Requiring respondents to submit more than an original and two copies of any document;**
- **Requiring respondents to retain records, other than health, medical, government contract, grant-in-aid, or tax records for more than three years;**
- **In connection with a statistical survey, that is not designed to produce valid and**

reliable results that can be generalized to the universe of study;

- **Requiring the use of a statistical data classification that has not been reviewed and approved by OMB;**
- **That includes a pledge of confidentiality that is not supported by authority established in statute or regulation, that is not supported by disclosure and data security policies that are consistent with the pledge, or which unnecessarily impedes sharing of data with other agencies for compatible confidential use; or**
- **Requiring respondents to submit proprietary trade secret, or other confidential information unless the agency can demonstrate that it has instituted procedures to protect the information's confidentiality to the extent permitted by law.**

This information collection is conducted in a manner consistent with the guidelines in 5 CFR 1320.5(d)(2).

- 8. If applicable, provide a copy and identify the data and page number of publication in the Federal Register of the agency's notice, required by 5 CFR 1320.8(d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice and describe actions taken by the agency in response to these comments. Specifically address comments received on cost and hour burden.**

**Describe efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.**

**Consultation with representatives of those from whom information is to be obtained or those who must compile records should occur at least once every 3 years - even if the collection of information activity is the same as in prior periods. There may be circumstances that may preclude consultation in a specific situation. These circumstances should be explained.**

On November 13, 2020, USCIS published a 60-day notice in the Federal Register at 85 FR 72682.

- 9. Explain any decision to provide any payment or gift to respondents, other than remuneration of contractors or grantees.**

USCIS does not provide any payment for completion of an information collection.

- 10. Describe any assurance of confidentiality provided to respondents and the basis for**

**the assurance in statute, regulation or agency policy.**

There is no assurance of confidentiality. The system of records notices associated with this information collection are:

- DHS/USCIS/PIA-003(a) - Integrated Digitization Document Management Program (IDDMP), which covers the digitization of paper-based case files (A-Files and Receipt Files) and storing them in the Enterprise Document Management System (EDMS).
- DHS/USCIS/PIA-016(a) Computer Linked Application Information Management System (CLAIMS 3) and Associated Systems, which covers the processing and adjudication of Form I-821D.
- DHS/USCIS/PIA-056 USCIS Electronic Immigration System (USCIS ELIS), which covers the processing and adjudication of Form I-821D.

The associated privacy impact assessments are:

- Integrated Digitization Document Management Program (IDDMP), September 24, 2013;
- Computer Linked Application Information Management System (CLAIMS 3) and Associated Systems, March 25, 2016; and
- DHS/USCIS/PIA-056 USCIS Electronic Immigration System (USCIS ELIS), May 17, 2018.

Information provided in this request is protected from disclosure to U.S. Immigration and Customs Enforcement (ICE) and U.S. Customs and Border Protection (CBP) for the purpose of immigration enforcement proceedings unless the illegal alien requestor meets the criteria for the issuance of a Notice To Appear or a referral to ICE under the criteria set forth in USCIS's Notice to Appear guidance ([www.uscis.gov/NTA](http://www.uscis.gov/NTA)). The information may be shared with national security and law enforcement agencies, including ICE and CBP, for purposes other than removal, including for assistance in the consideration of deferred action for childhood arrivals request, to identify or prevent fraudulent claims, for national security purposes, or for the investigation or prosecution of a criminal offense. The above information sharing clause covers family members and guardians, in addition to the requestor. This policy, which may be modified, superseded, or rescinded at any time without notice, is not intended to, does not, and may not be relied upon to create any right or benefit, substantive or procedural, enforceable at law by any party in any administrative, civil, or criminal matter.

- 11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private. This justification should include the reasons why the agency considers the questions necessary, the specific uses to be made of the**

**information, the explanation to be given to persons from whom the information is requested, and any steps to be taken to obtain their consent.**

In order to examine whether illegal aliens should be considered for deferred action while the Secretary evaluates the future of the DACA policy, USCIS needs to ask questions and obtain evidence that is considered sensitive. Below are the questions that USCIS will ask illegal alien requestors to answer. In addition, the illegal alien requestor must provide records to document their answer.

***Have you ever been arrested for, charged with, or convicted of a felony or misdemeanor in the United States? Do not include minor traffic violations that only resulted in a fine, unless it was alcohol- or drug-related.***

This question regards the illegal alien requestor's criminal history. USCIS generally will not defer an illegal alien requestor's removal from the United States under DACA if he or she has been convicted of certain crimes except in exceptional circumstances.

***If you answered "Yes" you must also include copies of all arrest records, charging documents, dispositions (outcomes), sentencing records, etc.***

USCIS needs the arrest records to determine if the crime rises to a level that will result in USCIS choosing not to defer removal in the case. Certain misdemeanors may not preclude USCIS from deferring action of an illegal alien's case. Criminal convictions that are not disqualifying under the criminal guidelines for DACA may still indicate the illegal alien requestor poses a threat to public safety.

***Have you ever been arrested for, charged with, or convicted of a crime in any country other than the United States? If you answered "Yes" you must also include copies of all arrest records, charging documents, dispositions (outcomes), sentencing records, etc.***

This question regards the illegal alien requestor's criminal history. USCIS generally will not defer removal of certain illegal alien criminals from the United States under DACA except in exceptional circumstances.

***Have you ever engaged in or do you continue to engage in or plan to engage in terrorist activities?***

This question regards the illegal alien requestor's support for terrorism. USCIS will not defer removal of illegal aliens from the United States under DACA who pose a threat to national security, including those who have supported terrorism.

***Are you now or have you ever been a member of a gang?***

This question regards whether the illegal alien poses a threat to public safety. USCIS will

not consider deferring removal of gang members from the United States under the DACA process.

***Have you ever engaged in, ordered, incited, assisted or otherwise participated in any of the following:***

- ***Acts involving torture, genocide, or human trafficking?***
- ***Killing any person?***
- ***Severely injuring any person?***
- ***Any kind of sexual contact or relations with any person who was being forced or threatened?***

A background check may not always reveal derogatory information regarding a person who may have engaged in activities related to the questions above. An illegal alien who has engaged in one of the above activities may be a national security or public safety threat, and USCIS will deny his or her request for deferred action.

In addition, certain renewal requestors whose requests are treated as requests for "initial" DACA for required evidence, processing, and adjudication purposes<sup>1</sup> are required to provide education and military records. This information is necessary to determine whether the requestor meets the guidelines of the Secretary's June 15, 2012 memorandum. DHS will consider exercising prosecutorial discretion with respect to low priority cases under the DACA process if the illegal alien is currently in school, has graduated or obtained a certificate of completion from high school, has obtained a general education development certificate, or is an honorably discharged veteran of the Coast Guard or Armed Forces of the United States.

For DACA, USCIS will allow the illegal alien requestor to submit certain records to document that he or she came to the United States before his or her 16th birthday, and were in unlawful status as of June 15, 2012, including hospital records, medical records or official records from a religious entity in the United States. These records are optional evidence. USCIS will not deny illegal aliens DACA solely based upon their medical history or religious affiliation.

**12. Provide estimates of the hour burden of the collection of information. The statement should:**

- **Indicate the number of respondents, frequency of response, annual hour burden, and an explanation of how the burden was estimated. Unless directed to do so, agencies should not conduct special surveys to obtain information on which to base hour burden estimates. Consultation with a sample (fewer than 10) of potential respondents is desirable. If the hour burden on respondents is**

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<sup>1</sup> Pursuant to the Acting Secretary's July 28, 2020 memorandum, DHS is not accepting initial requests for DACA from illegal aliens who never before had DACA.

expected to vary widely because of differences in activity, size, or complexity, show the range of estimated hour burden, and explain the reasons for the variance. Generally, estimates should not include burden hours for customary and usual business practices.

- **If this request for approval covers more than one form, provide separate hour burden estimates for each form and aggregate the hour burdens in Item 13 of OMB Form 83-I.**
- **Provide estimates of annualized cost to respondents for the hour burdens for collections of information, identifying and using appropriate wage rate categories. The cost of contracting out or paying outside parties for information collection activities should not be included here. Instead, this cost should be included in Item 14.**

		A	B	C (=AxB)	D	E (=CxD)	F	(=ExF)
Type of Respondent	Form Name / Form Number	#. of Respondents	#. of Responses per Respondent	# of Responses	Avg. Burden per Response (in hours)	Total Annual Burden (in hours)	Avg. Hourly Wage Rate*	Total Annual Respondent Cost
Individuals or households	Consideration of Deferred Action for Childhood Arrivals/ I-821D renewal requests	418,775	1	418,775	3	1,256,325	\$37.55	\$47,175,004
Total				418,775		1,256,325		\$47,175,004

*\*The above Average Hourly Wage Rate is the May 2019 Bureau of Labor Statistics average wage for All Occupations of \$25.72 times the wage rate benefit multiplier of 1.46 (to account for benefits provided) equaling \$37.55. The selection of "All Occupations" was chosen as the expected respondents for this collection could be expected to be from any occupation.*

- 13. Provide an estimate of the total annual cost burden to respondents or record keepers resulting from the collection of information. (Do not include the cost of any hour burden shown in Items 12 and 14).**
- **The cost estimate should be split into two components: (a) a total capital and start-up cost component (annualized over its expected useful life); and (b) a total operation and maintenance and purchase of services component. The estimates should take into account costs associated with generating, maintaining, and disclosing or providing the information. Include descriptions of methods used to estimate major cost factors including system and technology acquisition,**



**expected useful life of capital equipment, the discount rate(s), and the time period over which costs will be incurred. Capital and start-up costs include, among other items, preparations for collecting information such as purchasing computers and software; monitoring, sampling, drilling and testing equipment; and record storage facilities.**

- **If cost estimates are expected to vary widely, agencies should present ranges of cost burdens and explain the reasons for the variance. The cost of purchasing or contracting out information collection services should be a part of this cost burden estimate. In developing cost burden estimates, agencies may consult with a sample of respondents (fewer than 10), utilize the 60-day pre-OMB submission public comment process and use existing economic or regulatory impact analysis associated with the rulemaking containing the information collection, as appropriate.**
- **Generally, estimates should not include purchases of equipment or services, or portions thereof, made: (1) prior to October 1, 1995; (2) to achieve regulatory compliance with requirements not associated with the information collection; (3) for reasons other than to provide information or keep records for the government; or, (4) as part of customary and usual business or private practices.**

For informational purposes, individuals filing Form I-821D must also file Form I-765, Application for Employment Authorization, and Form I-765WS, Form I-765 Worksheet. There is a \$410 filing fee and \$85 biometric services fee associated with filing a I-765 with this information collection.

This information collection may impose some out-of-pocket costs on respondents in addition to the time burden for the form's preparation. Many DACA respondents may incur expenses to obtain, medical, military, education, or religious records. For form preparation, legal services, translators, and document search and generation, USCIS estimates the average cost of this information collection may vary widely, from as little as \$20 to \$1000 per illegal alien respondent. USCIS estimates that the average cost for these activities is \$110. **The total estimated annual cost to respondents is \$46,065,250** (Calculated: 418,775 respondents x \$110 average cost per response = \$46,065,250).

- 14. Provide estimates of annualized cost to the Federal government. Also, provide a description of the method used to estimate cost, which should include quantification of hours, operational expenses (such as equipment, overhead, printing, and support staff), and any other expense that would not have been incurred without this collection of information. Agencies also may aggregate cost estimates from Items 12, 13, and 14 in a single table.**

USCIS establishes its fees using an activity-based costing model to assign costs to an

adjudication based on its relative adjudication burden and use of USCIS resources. Fees are established at an amount that is necessary to recover these assigned costs, plus an amount to recover unassigned overhead (which includes the suggested average hourly rate for clerical, officer, and managerial time with benefits) and immigration benefits provided for free. USCIS uses the fee associated with an information collection as a reasonable measure of the collection's costs to USCIS, since these fees are based on resource expenditures related to the benefit in question. In addition, this figure includes the estimated overhead cost for printing, stocking, distributing and processing of this form.

The estimated cost to the Government is **\$207,293,625**, which is funded by USCIS user fee collections. (Calculated: 418,775 estimated number of respondents x \$495 fee = \$207,293,625)

**15. Explain the reasons for any program changes or adjustments reporting in Items 13 or 14 of the OMB Form 83-I.**

<b>Data collection Activity/Instrument</b>	<b>Program Change (hours currently on OMB Inventory)</b>	<b>Program Change (New)</b>	<b>Difference</b>	<b>Adjustment (hours currently on OMB Inventory)</b>	<b>Adjustment (New)</b>	<b>Difference</b>
I-821D Initial	122,457	0	122,457			
I-821D Renewal	1,256,325	1,256,325	0			
<b>Total(s)</b>	<b>1,378,782</b>	<b>1,256,325</b>	<b>122,457</b>			

The annual time burden reported for the Form I-821D decreased since the last submission to OMB. This result stems from a decrease in the number of initial filings due to the 2017 rescission memo. There is no change to the information collection instruments.

<b>Data collection Activity/Instrument</b>	<b>Program Change (cost currently on OMB Inventory)</b>	<b>Program Change (New)</b>	<b>Difference</b>	<b>Adjustment (cost currently on OMB Inventory)</b>	<b>Adjustment (New)</b>	<b>Difference</b>
I-821D Initial	\$4,490,090	\$0	\$(4,490,090)			
I-821D Renewal	\$46,065,250	\$46,065,250	\$0			
<b>Total(s)</b>	<b>\$50,555,340</b>	<b>\$46,065,250</b>	<b>\$(4,490,090)</b>			

The annual cost burden reported for the Form I-821D decreased since the last submission

to OMB. This result stems from a decrease in the number of initial filings due to the 2017 rescission memo. There no change to the information collection instruments.

- 16. For collections of information whose results will be published, outline plans for tabulation, and publication. Address any complex analytical techniques that will be used. Provide the time schedule for the entire project, including beginning and ending dates of the collection of information, completion of report, publication dates, and other actions.**

This information collection will not be published for statistical purposes.

- 17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons that display would be inappropriate.**

USCIS will display the expiration date for OMB approval of this information collection.

- 18. Explain each exception to the certification statement identified in Item 19, "Certification for Paperwork Reduction Act Submission," of OMB 83-I.**

USCIS does not request an exception to the certification of this information collection.

**B. Collections of Information Employing Statistical Methods.**

There is no statistical methodology involved with this collection.